

Spin^c structures on manifolds

Nicolas Ginoux

Seminar on Seiberg-Witten theory - University of Regensburg

November 17, 2012

Abstract: After introducing the spin^c group and the spinor representation, we discuss spin^c structures and show that every orientable closed smooth 4-dimensional manifold has a spin^c structure. We closely follow [6, App. D] and [1] (see also [2] for a few details).

1 The spin^c group and its representations

1.1 The spin group

Definition 1.1 *Let n be a positive integer. The spin group in dimension n , denoted by Spin_n , is the non-trivial 2-fold covering of the special orthogonal group SO_n .*

The group Spin_n is a compact $\frac{n(n-1)}{2}$ -dimensional Lie group, connected if $n \geq 2$ and simply-connected if $n \geq 3$. In fact, if $\text{Spin}_n \xrightarrow{\xi} \text{SO}_n$ denotes this non-trivial covering map, then $\xi(z) = z^2$ for any $z \in \text{Spin}_2 \cong \mathbb{U}^1 = \{z \in \mathbb{C}, |z| = 1\}$ and ξ is the universal covering map if $n \geq 3$. In particular, we have the following short exact sequence of Lie groups:

$$1 \longrightarrow \{\pm 1\} \longrightarrow \text{Spin}_n \xrightarrow{\xi} \text{SO}_n \longrightarrow 1.$$

Examples 1.2

1. For $n = 3$, the spin group $\text{Spin}_3 \cong \text{SU}_2$, where ξ becomes the well-known 2-fold covering map.
2. For $n = 4$, the spin group $\text{Spin}_4 \cong \text{Spin}_3 \times \text{Spin}_3 \cong \text{SU}_2 \times \text{SU}_2$.

This defines the spin group as an abstract Lie group. Actually, the spin group is a Lie subgroup of a natural Lie group, namely the group of units of a *Clifford algebra*.

Definition 1.3 Let $q_{\mathbb{C}}(z, z') := \sum_{j=1}^n z_j z'_j$ denote the canonical complex bilinear form on \mathbb{C}^n . The complex Clifford algebra in dimension n is defined as

$$\mathbb{C}l_n := \text{Cl}(\mathbb{C}^n, q_{\mathbb{C}}) := \bigotimes \mathbb{C}^n / \mathcal{I},$$

where $\bigotimes \mathbb{C}^n$ denotes the tensor algebra of \mathbb{C}^n and \mathcal{I} the two-sided ideal generated by the elements of the form $z \otimes w + w \otimes z + 2q_{\mathbb{C}}(z, z') \cdot 1$, where z, w run in \mathbb{C}^n .

Proposition 1.4 Endowed with the so-called Clifford multiplication $[a] \cdot [b] := [a \otimes b]$, the complex Clifford algebra in dimension n is an associative algebra with unit which is linearly isomorphic to the exterior algebra $\bigwedge \mathbb{C}^n$ (hence of complex dimension 2^n). It can be characterised as the smallest associative complex algebra with unit containing \mathbb{C}^n and where the relations

$$z \cdot w + w \cdot z = -2q_{\mathbb{C}}(z, w) \cdot 1$$

are satisfied for all $z, w \in \mathbb{C}^n$.

Proposition 1.5 The spin group in dimension n can be identified with the following subgroup of the group $\mathbb{C}l_n^{\times}$ of units of $\mathbb{C}l_n$:

$$\text{Spin}_n \cong \{v_1 \cdot \dots \cdot v_{2k} \mid v_j \in \mathbb{R}^n, |v_j| = 1, k \geq 1\} \subset \mathbb{C}l_n^{\times}.$$

Moreover, the 2-fold covering homomorphism ξ can be identified with the restriction of the adjoint map acting on \mathbb{R}^n :

$$\xi = \text{Ad}_{|\text{Spin}_n} : \text{Spin}_n \longrightarrow \text{Aut}(\mathbb{R}^n), u \longmapsto (v \mapsto u \cdot v \cdot u^{-1}).$$

1.2 The spin^c group

Definition 1.6 Let n be a positive integer. The spin^c group in dimension n , denoted by Spin_n^c , is the subgroup

$$\text{Spin}_n^c := \{\lambda u \mid \lambda \in \mathbb{U}_1, u \in \text{Spin}_n\} \subset \mathbb{C}l_n^{\times}.$$

The group homomorphism

$$\begin{aligned} \text{Spin}_n \times \mathbb{U}_1 &\longrightarrow \text{Spin}_n^c \\ (u, \lambda) &\longmapsto \lambda u \end{aligned}$$

is by definition surjective and its kernel is $\{\pm(1, 1)\}$ since $\text{Spin}_n \cap \mathbb{U}_1 = \{\pm 1\}$. Therefore,

$$\text{Spin}_n^c \cong \text{Spin}_n \times \mathbb{U}_1 / \mathbb{Z}_2,$$

which is sometimes taken as a definition for the spin^c group.

As for the spin group, there is a short exact sequence of Lie groups

$$1 \longrightarrow \{\pm 1\} \longrightarrow \text{Spin}_n^c \xrightarrow{\xi^c} \text{SO}_n \times \mathbb{U}_1 \longrightarrow 1, \quad (1)$$

where $\xi^c([u, \lambda]) := (\xi(u), \lambda^2)$. Beware that Spin_n^c , though connected for $n \geq 2$, is never simply-connected:

$$\pi_1(\text{Spin}_n^c) = \begin{cases} \mathbb{Z}^2 & \text{if } n = 2 \\ \mathbb{Z} & \text{if } n \geq 3. \end{cases}$$

1.3 The spinor representation

Proposition 1.7 *Let $\Sigma_n := \mathbb{C}^{2^{\lfloor \frac{n}{2} \rfloor}}$, then there exist complex algebra homomorphisms*

$$\mathbb{C}l_n \cong \begin{cases} \text{End}_{\mathbb{C}}(\Sigma_n) & \text{if } n \text{ is even} \\ \text{End}_{\mathbb{C}}(\Sigma_n) \oplus \text{End}_{\mathbb{C}}(\Sigma_n) & \text{if } n \text{ is odd.} \end{cases}$$

The representation space Σ_n can actually be constructed explicitly as a subspace of $\mathbb{C}l_n$ itself (on which $\mathbb{C}l_n$ acts from the left by Clifford multiplication), see [2].

Since any complex matrix algebra is simple, Proposition 1.7 implies that there is up to equivalence only one (non-zero) irreducible complex representation of $\mathbb{C}l_n$ if n is even and there are exactly two if n is odd. To distinguish the two, we introduce the so-called complex volume element

$$\omega_n^{\mathbb{C}} := i^{\lfloor \frac{n+1}{2} \rfloor} e_1 \cdot \dots \cdot e_n \in \mathbb{C}l_n,$$

where $(e_j)_{1 \leq j \leq n}$ is any p.o.n.b of \mathbb{R}^n with the canonical metric and orientation.

Lemma 1.8 *The complex volume element acts as an isometric involution on Σ_n . More precisely,*

$$\omega_n^{\mathbb{C}} \cdot = \begin{cases} \text{Id}_{\Sigma_n^+} \oplus -\text{Id}_{\Sigma_n^-} & \text{if } n \text{ is even} \\ \text{Id}_{\Sigma_n} \oplus -\text{Id}_{\Sigma_n} & \text{if } n \text{ is odd,} \end{cases}$$

where $\Sigma_n^{\pm} := \text{Ker}(\omega_n^{\mathbb{C}} \cdot \mp \text{Id}_{\Sigma_n}) \subset \Sigma_n$ in the case n even.

From now on, we denote by $\delta_n : \mathbb{C}l_n \longrightarrow \text{End}_{\mathbb{C}}(\Sigma_n)$ the representation provided by Proposition 1.7 if n is even and by the factor of $\text{End}_{\mathbb{C}}(\Sigma_n) \oplus \text{End}_{\mathbb{C}}(\Sigma_n)$ on which $\omega_n^{\mathbb{C}}$ acts as the identity if n is odd.

Definition 1.9 *The representation δ_n is called the complex spinor representation.*

Proposition 1.10 *The spinor representation satisfies the following:*

- i) *There exists up to scaling only one Hermitian product on Σ_n such that each vector in \mathbb{R}^n acts in a skew-Hermitian way on Σ_n .*
- ii) *In n is even, then $\delta_n|_{\text{Spin}_n^{\mathbb{C}}}$ splits into the sum of two inequivalent irreducible complex representations: $\delta_n|_{\text{Spin}_n^{\mathbb{C}}} = \delta_n^+ \oplus \delta_n^-$, where $\delta_n^{\pm} : \text{Spin}_n^{\mathbb{C}} \longrightarrow \text{Aut}_{\mathbb{C}}(\Sigma_n^{\pm})$ are irreducible with $\delta_n^+ \simeq \delta_n^-$.*
- iii) *If n is odd, then $\delta_n|_{\text{Spin}_n^{\mathbb{C}}}$ is irreducible. Moreover, the restriction of the factor of $\text{End}_{\mathbb{C}}(\Sigma_n) \oplus \text{End}_{\mathbb{C}}(\Sigma_n)$ on which $\omega_n^{\mathbb{C}}$ acts as minus the identity to $\text{Spin}_n^{\mathbb{C}}$ gives rise to an equivalent representation.*

In case n even, the representations δ_n^{\pm} are called *half-spinor representations*; δ_n^+ is the positive one and δ_n^- the negative one. Note in particular that, as a consequence of Proposition 1.10.i) and of Proposition 1.5, the representation δ_n is *unitary*.

2 Spin^c structures

We denote by $P_{\text{SO}_n}TM \longrightarrow M$ the SO_n -principal bundle of positively oriented orthonormal frames on the tangent bundle of an oriented Riemannian manifold (M^n, g) .

Definition 2.1 *Let (M^n, g) be an n -dimensional oriented Riemannian manifold.*

1. *A spin structure on (M^n, g) is a reduction of $P_{\text{SO}_n}TM \longrightarrow M$ to the spin group. More precisely, a spin structure is given by a Spin_n -principal bundle $P_{\text{Spin}_n}TM \longrightarrow M$ together with a 2-fold covering map $P_{\text{Spin}_n}TM \xrightarrow{\eta} P_{\text{SO}_n}TM$ such that the following diagramme commutes:*

$$\begin{array}{ccc}
 P_{\text{Spin}_n}TM \times \text{Spin}_n & \longrightarrow & P_{\text{Spin}_n}TM \\
 \downarrow \eta \times \xi & & \downarrow \eta \\
 P_{\text{SO}_n}TM \times \text{SO}_n & \longrightarrow & P_{\text{SO}_n}TM
 \end{array}
 \begin{array}{c}
 \nearrow \\
 M \\
 \nwarrow
 \end{array}$$

2. A spin^c -structure on (M^n, g) consists of a pair $(P_{\text{Spin}_n^c}TM, P_{\mathbb{U}_1})$, where $P_{\text{Spin}_n^c}TM \rightarrow M$ is a Spin_n^c -principal bundle, $P_{\mathbb{U}_1} \rightarrow M$ is a \mathbb{U}_1 -principal bundle, together with a 2-fold covering map $P_{\text{Spin}_n^c}TM \xrightarrow{\eta^c} P_{\text{SO}_n}TM \times P_{\mathbb{U}_1}$ such that the following diagram commutes:

$$\begin{array}{ccc}
P_{\text{Spin}_n^c}TM \times \text{Spin}_n^c & \longrightarrow & P_{\text{Spin}_n^c}TM \\
\downarrow \eta^c \times \xi^c & & \downarrow \eta^c \\
P_{\text{SO}_n}TM \times_M P_{\mathbb{U}_1} \times (\text{SO}_n \times \mathbb{U}_1) & \longrightarrow & P_{\text{SO}_n}TM \times_M P_{\mathbb{U}_1}
\end{array}
\begin{array}{c}
\searrow \\
\nearrow \\
M
\end{array}$$

3. The manifold (M^n, g) is called *spin* (resp. *spin^c*) if and only if it admits a *spin*- (resp. *spin^c*-)structure.

Any spin structure defines a spin^c structure in an obvious way: take $P_{\mathbb{U}_1} := M \times \mathbb{U}_1$ to be the trivial \mathbb{U}_1 -bundle and extend the Spin_n -bundle via the inclusion $\text{Spin}_n \subset \text{Spin}_n^c$. In particular, any spin manifold is spin^c .

The condition to be spin or spin^c a priori depends on the metric (through $P_{\text{SO}_n}TM$). It actually only has to do with the topology of the manifold since it may be understood as an orientability condition of second order, as we shall prove next. Denote by $r : \mathbb{Z} \rightarrow \mathbb{Z}_2$ the mod-2-reduction and also by $r : H^q(M; \mathbb{Z}) \rightarrow H^q(M; \mathbb{Z}_2)$ the induced homomorphism in cohomology.

Proposition 2.2

- i) A smooth manifold M is spin if and only if its first and second Stiefel-Whitney classes vanish, that is, iff $w_1(TM) = 0$ and $w_2(TM) = 0$.
- ii) A smooth manifold M is spin^c if and only if its first Stiefel-Whitney class vanishes and its second Stiefel-Whitney class is the mod-2-reduction of an integral class, that is, iff

$$w_1(TM) = 0 \quad \text{and} \quad w_2(TM) \in r(H^2(M; \mathbb{Z})).$$

Proof. We only prove ii), see e.g. [6] or [3] for i). We follow [6, App. D]. First M has to be orientable in order to be spin^c , the orientability of TM being equivalent to $w_1(TM) = 0$, which we assume from now on. The short exact sequence of groups (1) induces the following long exact sequence in Čech cohomology:

$$\dots \rightarrow H^1(M; \mathbb{Z}_2) \rightarrow H^1(M; \text{Spin}_n^c) \xrightarrow{\xi^c} H^1(M; \text{SO}_n) \oplus H^1(M; \mathbb{U}_1) \xrightarrow{w_2 + r \circ c_1} H^2(M; \mathbb{Z}_2) \rightarrow \dots,$$

where $w_2 : H^1(M; \text{SO}_n) \rightarrow H^2(M; \mathbb{Z}_2)$ denotes the homomorphism associating the second Stiefel-Whitney class to an equivalence class of SO_n -bundles (or, equivalently, of Riemannian vector bundles) and $c_1 : H^1(M; \mathbb{U}_1) \rightarrow H^2(M; \mathbb{Z})$ denotes the homomorphism associating the first Chern class to an equivalence class of \mathbb{U}_1 -bundles (or, equivalently, of Hermitian line bundles). The condition M to be spin^c means that there exists a \mathbb{U}_1 -bundle $P_{\mathbb{U}_1} \rightarrow M$ such that the element $[(P_{\text{SO}_n} TM, P_{\mathbb{U}_1})] \in H^1(M; \text{SO}_n) \oplus H^1(M; \mathbb{U}_1)$ lies in the image of the map ξ^c . This, in turn, is equivalent to $[(P_{\text{SO}_n} TM, P_{\mathbb{U}_1})]$ lying in the kernel of $w_2 + r \circ c_1$, meaning that $w_2(P_{\text{SO}_n} TM) = r(c_1(P_{\mathbb{U}_1}))$. Since $c_1 : H^1(M; \mathbb{U}_1) \rightarrow H^2(M; \mathbb{Z})$ is a group isomorphism, the condition to be spin^c for M is therefore equivalent to $w_2(TM) = w_2(P_{\text{SO}_n} TM) \in r(H^2(M; \mathbb{Z}))$, which was to be shown. \square

Examples 2.3

1. Any 1-dimensional manifold is spin, a circle having two inequivalent spin structures. Any orientable surface is also spin since in that case $w_2(TM) \in H^2(M; \mathbb{Z}_2) \cong \mathbb{Z}_2$ is the mod-2-reduction of the Euler characteristic (which is even). Any 3-dimensional orientable 3-dimensional manifold has trivial tangent bundle and hence is spin. The “simplest” example of non-spin manifold is the complex 2-dimensional projective space $\mathbb{C}\mathbb{P}^2$.
2. The set of (inequivalent) spin structures of a given spin manifold M can be shown to stand in one-to-one correspondence with its cohomology group $H^1(M; \mathbb{Z}_2)$. In particular, M may have more than one spin structure. However, there is only one if e.g. M is simply-connected.
3. Any *almost-Hermitian* manifold has a natural spin^c structure, due to the existence of a reduction of $P_{\text{SO}_{2m}}$ to the unitary group \mathbb{U}_m and of a lift $\mathbb{U}_m \rightarrow \text{Spin}_{2m}^c$ over $\mathbb{U}_m \xrightarrow{\text{incl.} \times \det} \text{SO}_{2m} \times \mathbb{U}_1$.
4. Spin^c structures need also not be unique: if $P_{\mathbb{U}_1}(\alpha) \rightarrow M$ is any \mathbb{U}_1 -bundle (with Chern-class $\alpha \in H^2(M; \mathbb{Z})$) over M , then

$$P_{\text{Spin}_{2m}^c} \times_M P_{\mathbb{U}_1}(\alpha) / \mathbb{U}_1 \rightarrow P_{\text{SO}_{2m}} \times_M (P_{\mathbb{U}_1} \otimes P_{\mathbb{U}_1}(2\alpha))$$

defines a new spin^c structure, where the new associated \mathbb{U}_1 -bundle is $P_{\mathbb{U}_1} \otimes P_{\mathbb{U}_1}(2\alpha)$.

From now on, we shall implicitly assume that, on a given spin^c manifold, a spin^c structure is fixed.

Definition 2.4 Let (M^n, g) be a spin^c manifold. The spinor bundle of M is the vector bundle – denoted by ΣM – associated to the Spin_n^c -bundle via the spinor representation:

$$\Sigma M := P_{\text{Spin}_n^c} TM \times_{\delta_n} \Sigma_n = P_{\text{Spin}_n^c} TM \times \Sigma_n / \sim,$$

where $(p, \sigma) \sim (p \cdot u, \delta_n(u^{-1})(\sigma))$ for all $(p, \sigma) \in P_{\text{Spin}_n^c} TM \times \Sigma_n$ and $u \in \text{Spin}_n^c$.

By definition, the spinor bundle is a complex vector bundle of (complex) rank $2^{\lfloor \frac{n}{2} \rfloor}$ over M . Sections of ΣM are called *spinor fields* or just *spinors*. Since δ_n can be assumed unitary (see above), ΣM can be naturally endowed with a pointwise Hermitian inner product $\langle \cdot, \cdot \rangle$, turning it into a Hermitian vector bundle. Like the space Σ_n , the spinor bundle also admits a Clifford multiplication:

Proposition 2.5 The spinor representation of Cl_n induces a linear map $TM \otimes \Sigma M \rightarrow \Sigma M$, $X \otimes \varphi \mapsto X \cdot \varphi$, satisfying the (pointwise) Clifford relation

$$X \cdot (Y \cdot \varphi) + Y \cdot (X \cdot \varphi) = -2g(X, Y)\varphi$$

for all $X, Y \in TM$ and $\varphi \in \Sigma M$. Moreover, the Hermitian inner product $\langle \cdot, \cdot \rangle$ can be defined such that

$$\langle X \cdot \varphi, \psi \rangle = -\langle \varphi, X \cdot \psi \rangle$$

for all $X \in TM$ and $\varphi, \psi \in \Sigma M$.

As a last important step, any connection 1-form on the auxiliary bundle $P_{\mathbb{U}_1}$ induces, together with the Levi-Civita connection of (M^n, g) , a metric connection on ΣM :

Proposition 2.6 Let $A \in \Omega^1(P_{\mathbb{U}_1}, i\mathbb{R})$ be any connection 1-form on $P_{\mathbb{U}_1}$. Then A and the Levi-Civita connection ∇ of (M^n, g) together induce a metric covariant derivative ∇^A on ΣM , which satisfies:

$$\nabla_X^A(Y \cdot \varphi) = (\nabla_X Y) \cdot \varphi + Y \cdot \nabla_X^A \varphi,$$

for all $X, Y \in \Gamma(M, TM)$ and $\varphi \in \Gamma(M, \Sigma M)$.

Definition 2.7 The Dirac operator associated to a connection 1-form A on the auxiliary bundle $P_{\mathbb{U}_1} \rightarrow M$ on a spin^c manifold (M^n, g) is the operator

$$D^A : \Gamma(M, \Sigma M) \rightarrow \Gamma(M, \Sigma M), \quad \varphi \mapsto \sum_{j=1}^n e_j \cdot \nabla_{e_j}^A \varphi,$$

where ∇^A is the covariant derivative associated to A and $(e_j)_{1 \leq j \leq n}$ is any local o.n.b. of TM .

The Dirac-operator is a well-defined, elliptic, formally self-adjoint differential operator of order 1. It is even essentially self-adjoint if (M^n, g) is complete.

Theorem 2.8 (Schrödinger-Lichnerowicz formula) *For any connection 1-form A on the auxiliary bundle $P_{U_1} \rightarrow M$ on a spin^c manifold (M^n, g) , we have*

$$(D^A)^2 = (\nabla^A)^* \nabla^A + \frac{S}{4} \text{Id} + \frac{F_A}{2} \cdot \text{Id},$$

where $(\nabla^A)^* \nabla^A := -\text{tr}_g((\nabla^A)^2) = \sum_{j=1}^n \nabla_{\nabla_{e_j} e_j}^A - \nabla_{e_j}^A \nabla_{e_j}^A$ is the connection Laplacian associated to ∇^A (here $\{e_j\}_{1 \leq j \leq n}$ is a local o.n.b. of TM), S is the scalar curvature of (M, g) and $F_A \in \Gamma(\Lambda^2 T^*M \otimes i\mathbb{R})$ is the curvature form of A .

Proof. Fix a local orthonormal basis $\{e_j\}_{1 \leq j \leq n}$ of TM . Using the compatibility conditions as well as the Clifford relations, we have, for any $\varphi \in \Gamma(\Sigma M)$,

$$\begin{aligned} (D^A)^2 \varphi &= \sum_{j,k=1}^n e_j \cdot \nabla_{e_j}^A (e_k \cdot \nabla_{e_k}^A \varphi) \\ &= \sum_{j,k=1}^n e_j \cdot \nabla_{e_j} e_k \cdot \nabla_{e_k}^A \varphi + e_j \cdot e_k \cdot \nabla_{e_j}^A \nabla_{e_k}^A \varphi \\ &= - \sum_{j,k=1}^n e_j \cdot e_k \cdot \nabla_{\nabla_{e_j} e_k}^A \varphi + \sum_{j,k=1}^n e_j \cdot e_k \cdot \nabla_{e_j}^A \nabla_{e_k}^A \varphi \\ &= \sum_{j=1}^n (\nabla_{\nabla_{e_j} e_j}^A - \nabla_{e_j}^A \nabla_{e_j}^A) \varphi \\ &\quad + \sum_{1 \leq j < k \leq n} e_j \cdot e_k \cdot (\nabla_{e_j}^A \nabla_{e_k}^A - \nabla_{e_k}^A \nabla_{e_j}^A - \nabla_{\nabla_{e_j} e_k}^A + \nabla_{\nabla_{e_k} e_j}^A) \varphi \\ &= (\nabla^A)^* \nabla^A \varphi + \sum_{1 \leq j < k \leq n} e_j \cdot e_k \cdot ([\nabla_{e_j}^A, \nabla_{e_k}^A] - \nabla_{[e_j, e_k]}^A) \varphi \\ &= (\nabla^A)^* \nabla^A \varphi + \frac{1}{2} \sum_{j,k=1}^n e_j \cdot e_k \cdot R_{e_j, e_k}^{\nabla^A} \varphi. \end{aligned}$$

Now locally the connection ∇^A and its curvature R^{∇^A} can be expressed as follows: choosing local sections u of $P_{\text{SO}_n} TM \rightarrow M$ and s of $P_{U_1} \rightarrow M$, we obtain a local section \tilde{u} of $P_{\text{Spin}_n^c} TM \rightarrow M$ and hence a local trivialization $\{\psi_\alpha\}_{1 \leq \alpha \leq 2^{\lfloor \frac{n}{2} \rfloor}}$ of ΣM . In that case, we have, for all tangent vectors X, Y (defined locally),

$$\nabla_X^A \psi_\alpha = \frac{1}{4} \sum_{j,k=1}^n g(\nabla_X e_j, e_k) e_j \cdot e_k \cdot \psi_\alpha + \frac{A(ds(X))}{2} \psi_\alpha,$$

from which

$$R_{X,Y}^{\nabla^A} = \frac{1}{4} \sum_{j,k=1}^n g(R_{X,Y}^{\nabla} e_j, e_k) e_j \cdot e_k \cdot + \frac{1}{2} \underbrace{dA(ds(X), ds(Y))}_{F_A(X,Y)}$$

follows. By definition of the Clifford action of forms

$$\sum_{j,k=1}^n F_A(e_j, e_k) e_j \cdot e_k \cdot = 2 \sum_{1 \leq j < k \leq n} F_A(e_j, e_k) e_j \cdot e_k \cdot = 2F_A \cdot,$$

so that only the action of the curvature of the Levi-Civita connection of (M, g) remains to be determined. The first Bianchi identity and the preceding local expressions of ∇^A and R^{∇^A} imply that, for any $X \in TM$,

$$\begin{aligned} \sum_{j,k,l=1}^n g(R_{X,e_j}^{\nabla} e_k, e_l) e_j \cdot e_k \cdot e_l \cdot \varphi &= - \sum_{j,k,l=1}^n g(R_{e_j, e_k}^{\nabla} X, e_l) e_j \cdot e_k \cdot e_l \cdot \varphi \\ &\quad - \sum_{j,k,l=1}^n g(R_{e_k, X}^{\nabla} e_j, e_l) e_j \cdot e_k \cdot e_l \cdot \varphi \\ &= - \sum_{j,k,l=1}^n g(R_{X, e_j}^{\nabla} e_k, e_l) (e_k \cdot e_l \cdot e_j - e_k \cdot e_j \cdot e_l) \cdot \varphi, \end{aligned}$$

with

$$\begin{aligned} e_k \cdot e_l \cdot e_j - e_k \cdot e_j \cdot e_l &= -e_k \cdot e_j \cdot e_l - 2\delta_{jl} e_k + e_j \cdot e_k \cdot e_l + 2\delta_{jk} e_l \\ &= 2e_j \cdot e_k \cdot e_l + 4\delta_{jk} e_l - 2\delta_{jl} e_k. \end{aligned}$$

We deduce that

$$\begin{aligned} 3 \sum_{j,k,l=1}^n g(R_{X, e_j}^{\nabla} e_k, e_l) e_j \cdot e_k \cdot e_l \cdot \varphi &= -4 \sum_{j,l=1}^n g(R_{X, e_j}^{\nabla} e_j, e_l) e_l \cdot \varphi + 2 \sum_{j,k=1}^n g(R_{X, e_j}^{\nabla} e_k, e_j) e_k \cdot \varphi \\ &= -4 \sum_{l=1}^n g(\text{Ric}(X), e_l) e_l \cdot \varphi - 2 \sum_{k=1}^n g(\text{Ric}(X), e_k) e_k \cdot \varphi \\ &= -6\text{Ric}(X) \cdot \varphi, \end{aligned}$$

where Ric denotes the Ricci tensor of (M, g) . Therefore,

$$\begin{aligned}
\frac{1}{2} \sum_{j,k=1}^n e_j \cdot e_k \cdot R_{e_j, e_k}^{\nabla^A} \varphi &= \frac{1}{8} \sum_{i,j,k,l=1}^n g(R_{e_i, e_j}^{\nabla} e_k, e_l) e_i \cdot e_j \cdot e_k \cdot e_l \cdot \varphi \\
&\quad + \frac{1}{4} \sum_{j,k=1}^n F_A(e_j, e_k) e_j \cdot e_k \cdot \varphi \\
&= -\frac{1}{4} \sum_{i=1}^n e_i \cdot \text{Ric}(e_i) \cdot \varphi + \frac{F_A}{2} \cdot \varphi \\
&= -\frac{1}{4} \sum_{i,j=1}^n \underbrace{g(\text{Ric}(e_i), e_j)}_{\text{symm.}} \underbrace{e_i \cdot e_j}_{\text{skew-symm. if } i \neq j} \varphi + \frac{F_A}{2} \cdot \varphi \\
&= \frac{1}{4} \sum_{i=1}^n g(\text{Ric}(e_i), e_i) \varphi + \frac{F_A}{2} \cdot \varphi \\
&= \frac{S}{4} \varphi + \frac{F_A}{2} \cdot \varphi,
\end{aligned}$$

which concludes the proof. \square

3 The 4-dimensional case

Theorem 3.1 ([8, 5]) *Every closed orientable smooth 4-dimensional manifold is spin^c.*

Proof: We follow [1, pp. 144-145]. We can assume w.l.o.g. that the manifold M is connected. By Proposition 2.2, we have to show that $w_2(TM) \in \text{Im}(r) := r(H^2(M; \mathbb{Z})) \subset H^2(M; \mathbb{Z}_2)$. We define $T := \text{Tor}(H^2(M; \mathbb{Z}))$, the torsion subgroup of $H^2(M; \mathbb{Z})$.

Claim: $\text{Im}(r) = \{\gamma \in H^2(M; \mathbb{Z}_2), \gamma \cup y = 0 \forall y \in r(T)\}$.

Proof: Let $\Gamma := \{\gamma \in H^2(M; \mathbb{Z}_2), \gamma \cup y = 0 \forall y \in r(T)\}$. If $\gamma \in \text{Im}(r)$, then there exists $\alpha \in H^2(M; \mathbb{Z})$ with $r(\alpha) = \gamma$. Similarly, for any $y \in r(T)$, there exists $\beta \in T$ with $r(\beta) = y$. It follows $\gamma \cup y = r(\alpha \cup \beta)$. But $\alpha \cup \beta \in H^4(M; \mathbb{Z})$ and $H^4(M; \mathbb{Z}) \cong \mathbb{Z}$ because M is orientable; since β is a torsion element, there exists an $m \in \mathbb{N} \setminus \{0\}$ with $m\beta = 0$ and hence $m(\alpha \cup \beta) = 0$, which yields $\alpha \cup \beta = 0$ and therefore $\gamma \cup y = 0$. This shows $\text{Im}(r) \subset \Gamma$. The other inclusion will be proven as soon as the \mathbb{Z}_2 -dimensions of $\text{Im}(r)$ and Γ are shown to coincide. Since \mathbb{Z}_2 is a field, the cup product $H^2(M; \mathbb{Z}_2) \times H^2(M; \mathbb{Z}_2) \rightarrow H^4(M; \mathbb{Z}_2) \cong \mathbb{Z}_2$ defines a non-degenerate (symmetric) bilinear form (see e.g.

[4, Prop. 3.38]), therefore

$$\dim_{\mathbb{Z}_2}(\Gamma) = \dim_{\mathbb{Z}_2}(r(T)^\perp) = \dim_{\mathbb{Z}_2}(H^2(M; \mathbb{Z}_2)) - \dim_{\mathbb{Z}_2}(r(T)).$$

Thus we have to show that $\dim_{\mathbb{Z}_2}(\text{Im}(r)) = \dim_{\mathbb{Z}_2}(H^2(M; \mathbb{Z}_2)) - \dim_{\mathbb{Z}_2}(r(T))$. The short exact sequence of abelian groups $0 \rightarrow \mathbb{Z} \xrightarrow{2\cdot} \mathbb{Z} \xrightarrow{r} \mathbb{Z}_2 \rightarrow 0$ induces the following long exact sequence in cohomology

$$\dots \rightarrow H^2(M; \mathbb{Z}) \xrightarrow{2\cdot} H^2(M; \mathbb{Z}) \xrightarrow{r} H^2(M; \mathbb{Z}_2) \xrightarrow{\beta} H^3(M; \mathbb{Z}) \xrightarrow{2\cdot} H^3(M; \mathbb{Z}) \rightarrow \dots$$

where β is the so-called *Bockstein homomorphism*. In particular, $\text{Im}(r) = \text{Ker}(\beta)$, so that $\dim_{\mathbb{Z}_2}(\text{Im}(r)) = \dim_{\mathbb{Z}_2}(H^2(M; \mathbb{Z}_2)) - \dim_{\mathbb{Z}_2}(\text{Im}(\beta))$. Hence it suffices to show that $\text{Im}(\beta) \cong r(T)$. Now the universal coefficient theorem (see e.g. [4, Thm 3.2]) states that there is the following short exact sequence of \mathbb{Z} -modules:

$$0 \rightarrow \text{Ext}_{\mathbb{Z}}(H_{q-1}(M; \mathbb{Z}), \mathbb{Z}) \rightarrow H^q(M; \mathbb{Z}) \rightarrow \text{Hom}_{\mathbb{Z}}(H_q(M; \mathbb{Z}), \mathbb{Z}) \rightarrow 0,$$

for every $q \in \mathbb{N} \setminus \{0\}$. Moreover, the free part of $H_{q-1}(M; \mathbb{Z})$ does not contribute to $\text{Ext}_{\mathbb{Z}}$, more precisely $\text{Ext}_{\mathbb{Z}}(H_{q-1}(M; \mathbb{Z}), \mathbb{Z}) \cong \text{Tor}(H_{q-1}(M; \mathbb{Z}))$. Similarly, the torsion part of $H_q(M; \mathbb{Z})$ does not contribute to $\text{Hom}_{\mathbb{Z}}$, that is, $\text{Hom}_{\mathbb{Z}}(H_q(M; \mathbb{Z}), \mathbb{Z}) \cong \mathbb{Z}^{b_q}$, where $b_q \in \mathbb{N}$ is the rank of $H_q(M; \mathbb{Z})$; in particular, $\text{Hom}_{\mathbb{Z}}(H_q(M; \mathbb{Z}), \mathbb{Z}) \cong H_q(M; \mathbb{Z})/\text{Tor}(H_q(M; \mathbb{Z}))$. Since the latter is free, the short exact sequence above splits and we obtain

$$\begin{aligned} H^q(M; \mathbb{Z}) &\cong \text{Ext}_{\mathbb{Z}}(H_{q-1}(M; \mathbb{Z}), \mathbb{Z}) \oplus \text{Hom}_{\mathbb{Z}}(H_q(M; \mathbb{Z}), \mathbb{Z}) \\ &\cong \text{Tor}(H_{q-1}(M; \mathbb{Z})) \oplus H_q(M; \mathbb{Z})/\text{Tor}(H_q(M; \mathbb{Z})). \end{aligned}$$

As a consequence, $\text{Tor}(H^q(M; \mathbb{Z})) \cong \text{Tor}(H_{q-1}(M; \mathbb{Z}))$. Since M is closed and orientable, Poincaré duality implies $H_{q-1}(M; \mathbb{Z}) \cong H^{n-q+1}(M; \mathbb{Z})$, where n is the dimension of the manifold M . Here we obtain, for $n = 4$ and $q = 3$:

$$\text{Tor}(H^3(M; \mathbb{Z})) \cong \text{Tor}(H_2(M; \mathbb{Z})) \cong \text{Tor}(H^2(M; \mathbb{Z})).$$

We deduce that

$$\begin{aligned} \text{Im}(\beta) &= \text{Ker}(2\cdot) \\ &= \{\alpha \in H^3(M; \mathbb{Z}), 2\alpha = 0\} \\ &= \{\alpha \in \text{Tor}(H^3(M; \mathbb{Z})), 2\alpha = 0\} \\ &\cong \{\alpha \in T, 2\alpha = 0\}. \end{aligned}$$

Writing $T = \bigoplus_{j=1}^m \mathbb{Z}_{p_j}^{k_j}$ with $p_j \in \mathbb{N}$ prime and $k_j \geq 1$, the subgroup $\{\alpha \in T, 2\alpha = 0\}$ of T is freely generated over \mathbb{Z}_2 by the elements of the form

$2^{k_j-1} \in \mathbb{Z}_{2^{k_j}}$ (only the $p_j = 2$ appear since 2 is invertible in $\mathbb{Z}_{p_j^{k_j}}$ for any prime $p_j > 2$). So is $T/2T$ for the same reasons. Hence $\{\alpha \in T, 2\alpha = 0\} \cong T/2T$ and, using the long exact sequence above, $r(T) \cong T/\text{Im}(2\cdot) \cap T = T/2T$. On the whole, $\text{Im}(\beta) \cong r(T)$, which was to be proven and yields the claim. \checkmark
Pick now an arbitrary $y \in r(T)$, then $w_2(TM) \cup y = y^2$ using a formula due to W.-T. Wu [8]. As above, since $y \in r(T)$ is the image of a torsion element, $y^2 = 0$, so that $w_2(TM) \cup y = 0$. This shows $w_2(TM) \in \Gamma$ and, with the claim, $w_2(TM) \in \text{Im}(r)$. This concludes the proof. \square

For further aspects of spin^c geometry, we recommend [7].

References

- [1] T. Friedrich, *Dirac-Operatoren in der Riemannschen Geometrie*, Vieweg, 1997.
- [2] N. Ginoux, *Spinoren*, see homepage of the author.
- [3] N. Ginoux, *The Dirac spectrum*, Lect. Notes in Math. **1976** (2009), Springer.
- [4] A. Hatcher, *Algebraic topology*, Cambridge University Press, 2002.
- [5] F. Hirzebruch, H. Hopf, *Felder von Flächenelementen in 4-dimensionalen Mannigfaltigkeiten*, Math. Ann. **136** (1958), 156–172.
- [6] H.B. Lawson, M.-L. Michelsohn, *Spin geometry*, Princeton University Press, 1989.
- [7] R. Nakad, *Spin^c structures on Manifolds and Geometric Applications*, see <http://www.iecn.u-nancy.fr/~nakad/mini.pdf>.
- [8] W.-T. Wu, *Classes caractéristiques et i-carrés d'une variété*, C. R. Acad. Sci. Paris **230** (1950), 508–511.